

Galveston College
Annual Investment Report (Including Deposits)

August 31, 2015

Investment or Deposit Type

Market Value

Publicly Traded Equity and Similar Investments

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)
 Equity/Stock Mutual Funds
 Balanced Mutual Funds (where target allocation is > 50% equities)
 "Commonfund" Equity Commingled Funds
 Other Equity Commingled Funds (if primarily invested in publicly traded equities)
 Preferred Stock
 Other - list by type

Total Publicly Traded Equity and Similar Investments 0.00

"Other" Investments - Other than Publicly Traded Equity and Debt Investments

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)
 Other Real Asset Investments (e.g. investments in infrastructure funds)
 Private Equity
 Hedge Funds
 "Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)
 Annuities
 Commodities
 Collectibles
 Other - list by type

Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments 0.00

Publicly Traded Debt & Similar Investments > 1 year maturity

U.S. Government Securities ("Treasuries")
 U.S. Government Agency Securities ("Agencies")
 Mortgage Pass-Throughs - "Agency"
 Mortgage Pass-Throughs - "Private Label"
 Asset-Backed Securities (ABS) (other than mortgage-backed securities)
 Sovereign Debt (non-U.S.)
 Municipal Obligations
 Collateralized Mortgage Obligations (CMOs) - list below by category
 Interest Only Strips (IOs)
 Principal Only Strips (POs)
 Inverse Floaters
 Stated Final Maturity longer than 10 years
 Other CMOs - "Agency"
 Other CMOs - "Private Label"

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Corporate Obligations (U.S. or foreign companies) - list below by rating

- Highly Rated (AAA/AA or equivalent)
- Other Investment Grade (A/BBB or equivalent)
- High Yield Bonds (<BBB or equivalent)
- Not Rated (NR)

Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)

Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)

"Commonfund" Fixed Income/Bond Commingled Funds

Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities;

not registered with the SEC)

GICs (Guaranteed Investment Contracts)

Other - list by type

Total Publicly Traded Debt & Similar Investments >1 year

0.00

Short-Term Investments & Deposits

U.S. Government Securities ("Treasuries")

U.S. Government Agency Securities ("Agencies")

Bankers' Acceptances

Commercial Paper - A1/P1 (or equivalent)

Other Commercial Paper - lower rated

Repurchase Agreements (Repos)

Money Market Mutual Funds (registered with the SEC)

Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)

Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")

TexPool (and TexPool Prime) 2,005,146.11

Other Public Funds Investment Pools Functioning as Money Market Mutual Funds 8,739,227.67

Other Investment Pools - Short-Term (not created to function as a money market mutual fund)

Certificates of Deposit (CD) - Nonnegotiable 2,022,093.04

Certificates of Deposit (CD) - Negotiable

Bank Deposits 774,746.00

Cash Held at State Treasury

Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)

Other - list by type

Petty Cash 1,200.00

Total Short-Term Investments & Deposits 13,542,412.8:

TOTAL INVESTMENTS and DEPOSITS 13,542,412.8:

Galveston College does not employ outside investment advisors.

Galveston College does not use soft dollar arrangements, Directed Brokerage or Directed Commission,

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Commission Recapture, or Similar Arrangements.

Galveston College's Foundation acts independently with Maria Tripovich as its Director.

Maria may be contacted at mtripovi@gc.edu.

The foundation's investment market value as of 8/31/15 was \$9,610,145

Our investment policy was last reviewed and reaffirmed in March of 2015 and may be viewed under about the college in the Finance Department area of Galveston College's website.

www.gc.edu